



## Investment Management Account

### Schedule of fees

As your investment management agent, South State Wealth will provide portfolio management, safekeeping of securities, collection and distribution of interest and dividends, execution of the purchase or sale of securities, daily cash investment, and periodic investment reports and transaction statements.

### **Annual fees on market value of financial assets**

<u>Rate</u>	<u>Current market value</u>
1.15% on the first.....	\$1,000,000
.95% on the next .....	\$2,000,000
.75% on the next .....	\$2,000,000
.50% on the next .....	\$5,000,000
.40% on the balance over.....	\$10,000,000

The minimum annual market value fee for all assets included in these investment services is \$6,000.

A charge of 0.10% may be added when South State Wealth serves as Agent for a Trustee or is required to consult prior to the execution of transactions.

If mutual funds are a part of the portfolio, additional fees may be applicable as described in the prospectus for each fund, which is available upon request. When investment management is provided to the account by outside investment firms, those fees will be in addition to the fees set out herein.

A comprehensive standard year-end tax information letter, including detailed gain/loss information for security sales and state tax information, will be provided for a flat fee of \$200.

### **Additional Fees:**

Fees in accordance with the schedule of supplemental services will apply for tax return preparation, real estate property management, note and mortgage collection and terminations. Charges for asset distributions and terminations will reflect the time, effort and costs involved. In determining best price and execution, South State Wealth may consider, among other things, the quality and value of brokerage and research services (as those terms are defined in Section 28(e) of the Securities Exchange Act of 1934)) provided by the broker to South State Wealth or its Bank Affiliates and, in consideration of such brokerage and research services, may cause the Account to pay a higher commission than another broker that does not provide such services might have charged for the same transaction.

When special or unusual services are required outside of the published fee schedules, our fee will include reasonable additional compensation, out-of-pocket expenses, or both, based on the nature of service and the extent of the duties and responsibility assumed.

Fees are subject to change and are computed and charged monthly.

In the event that the account is closed within the first twelve (12) months of inception, South State Wealth may charge a termination fee equal to that of the account's fee that would have been payable to South State Wealth if the account had remained open for such full twelve (12) month period.